# This Page Is Inserted by IFW Operations and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- · SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

## SELF-REGULATED COOLING SYSTEM FOR SWITCHING POWER SUPPLIES USING PARASITIC EFFECTS OF SWITCHING

#### **Technical Field**

5

This application relates to a self-regulated cooling system for switching power supplies using parasitic effects of switching.

#### **Background**

10

15

20

A power supply is a device for the conversion of available power from one set of characteristics to another set of characteristics to meet specified requirements. Power supplies include ac-ac converters (eg. frequency changers, cycloconverters), ac-dc converters (eg. rectifiers, offline converters), dc-ac converters (also called inverters), and dc-dc converters (also simply called "converters").

A "switching power supply" or "switching-mode power supply" is a power supply that provides the conversion function through low loss components such as capacitors, inductors, and transformers, and the use of switches (eg. transistors) that are in one of two states, namely on or off. The advantage is that the switch dissipates very little power in either of these two states and power conversion can be accomplished with

minimal power loss, which equates to high efficiency.

25

However, in designing and building electrical circuits for such power supplies, it is often necessary to include "snubber" circuits to dampen spurious transients or oscillations. Also, when the switching device is turned off, overvoltages appear due to the parasitic inductances

in the circuit. These overvoltages increase as the current to be switched increases because the amount of energy stored in the leakage inductance is proportional to the square of the current that goes through it. It is practically impossible to build a transformer with no leakage inductance.

5

Snubber circuits are well known in the prior art. A standard snubber circuit is described in U.S. Patent No. 3,098,949 issued July 23, 1963 to Goldberg.

10

A simple design for a snubber is simply a capacitor that shunts the switching transistor. A capacitor in parallel with the switch reduces the rate of rise of voltage across the switch when the switch is off, absorbing energy that would have had to be dissipated by the switch. In other words, the capacitor acts as an initial short in passing the current of the voltage transient around the switch until the capacitor charges up. However, such a design has the problem that the snubber capacitor charges up with the current in the transient spike. This charge must be bled off the snubber capacitor before the next cycle of the switch or the snubber capacitor will not be effective to snub the next transient. This bleeding off of charge occurs through the switch the next time the switch is turned on. This increases the electrical stress on the switch.

20

15

Typically, a lone capacitor is not used for the snubber without an accompanying resistor. A resistor-capacitor ("RC") snubber circuit employs a resistor in series with a capacitor across the switch. The RC snubber provides both turn-off snubbing and damping of voltage oscillations across the switch, but it incurs a relatively high power loss. The resistor dissipates, as heat, some of the charge from the capacitor to prepare for the next switch turn-off. Further, the capacitor and the parasitic inductance together act as a tuned circuit and can oscillate or "ring", and so the addition of a series resistance sufficient to critically damp the circuit is also necessary to suppress this ringing. However, this additional series resistance also slows down the process of charging and discharging the snubber capacitor. The slower charging tends to diminish somewhat the effectiveness of the snubber.

To regain the snubbing effectiveness, a diode may be placed in parallel with the resistor such that the voltage transient will be of the proper polarity to forward bias the diode and charge the snubber capacitor through the diode. Then, to protect the diode from being destroyed by excessive current, a small current-limiting resistor is placed in series with the diode. However, the diode is reverse biased during the discharge cycle to ready the snubber capacitor for the next transient, so discharging must occur through the resistor that is in parallel with the diode. This slows the discharge rate and places a limitation on the maximum rate at which the switch may be operated since the next cycle cannot start until the snubber capacitor is fully discharged. Also, the resistor dissipates the charge in the form of heat which can damage the semiconductors, and therefore may give rise to an increased need for forced cooling.

5

10

15

20

25

An alternative approach is to place a diode in series with a  $resistor\ and\ capacitor\ in\ a\ resistor\ - capacitor\ - diode\ ("RCD")\ snubber\ circuit.$ The diode, when forwardly biased, provides a mechanism to charge the snubber capacitor therethrough in preparation for the switch to turn on. With respect to either the RC snubber circuit or the RCD snubber circuit, the following principles apply: first, the capacitor is usually larger than the junction capacitance of the semiconductor switch so that the rising of the switch voltage is relatively slow, thereby reducing the voltage overshoot; second, the resistor provides damping to reduce voltage oscillations across the switch, but the resistor also dissipates energy in the form of heat. Finally, selection of the capacitor and resistor includes tradeoffs. More specifically, a larger capacitor reduces the transients, but increases the power dissipation associated therewith. When power levels are high enough, forced cooling is required to reduce the size of heat sinks to protect the semiconductors, depending on the heat sink temperature. In other words, an optimal design is difficult to achieve for any snubber circuit which utilizes a resistor to dissipate energy.

Even though most snubber circuits use resistors to dissipate extraneous energy as heat, attempts have been made to recover the wasted energy and put it to a useful application rather than dissipate it as heat. Some snubber circuits recycle the otherwise wasted energy back to the input terminal or to external loads. United States Patent No. 4,438,486 issued March 20, 1984 to Ferraro describes a snubber circuit comprising a diode and capacitor in series, together with an LED which, through a phototransistor, controls an oscillator and field effect transistor so as to

cause a transformer to generate a current through a diode to charge a battery. However, the snubber circuit taught by Ferraro is complex and does not apply the parasitic inductive energy in a manner useful to the protection of the switches themselves.

5

Accordingly, what is needed in the art is a snubber circuit for semiconductor switches that minimizes overvoltages to thereby reduce the power losses associated with the switches and oscillations in both voltage and current therefrom and reduces the thermal effects of the snubber circuit on the switches employing the snubber circuit to advantage.

#### **Summary of Invention**

15

20

25

10

In accordance with the invention, a system and method for cooling switching power supplies involve a circuit which collects from a semiconductor switch parasitic inductive energy which would normally be dissipated as heat in a typical RC snubber circuit, and uses that waste energy to power a cooling element such as a fan, a water pump, a Peltier device, or the like that protects the semiconductor switch. Furthermore, the circuit provides power to the cooling element in proportion to the amount of current delivered to the switch, and so the circuit automatically adjusts itself to the level needed to protect the switch. This results in a self-regulated cooling system for protecting switching power supplies, making useful application of parasitic effects of switching that would otherwise be dissipated as potentially harmful heat.

#### **Brief Description of Drawings**

In drawings which illustrate embodiments of the invention but which should not be construed as restricting the spirit or scope of the invention in any way:

Figure 1 is a part schematic, part block diagram, representing one embodiment of the present invention implemented for a push-pull converter.

10

15

5

Figure 2 is a part schematic, part block diagram, representing a general embodiment of the present invention.

Figure 3 is a graph showing the voltage difference across the switch in Figure 2 as a function of current.

#### **Description of Invention**

20

The circuit that comprises the present invention collects part of the energy stored in the parasitic or leakage inductance into a capacitor. From that capacitor there may be a circuit connected to a cooling element, such as a fan, a liquid pump, a Peltier device, or the like. The circuit ensures that, for maximum output power, the cooling element and the protected semiconductors are still in the safe operating area (a step-down or step-up converter can be used for this purpose). Figure 1 depicts the invention implemented for a push-pull converter. However, the invention

25

is suitable for any circuit where an overvoltage can occur on a switch due to a leakage inductance of a transformer or an inductor or otherwise. This could, for example, be true for several topologies such as Forward, Flyback, H-bridge, and Buck. To this end, Figure 2 depicts an embodiment of the invention having general application.

Figures 1 and 2 each illustrates a cooling system for a switching power supply, the power supply having a primary circuit comprising one or more semiconductor switches, wherein the cooling system comprises:

- (a) a capacitor and diode in series, together connected in parallel with the switch(es);
- (b) a power converter connected in parallel with the capacitor; and
- (c) a cooling element powered by the power converter for cooling the switching power supply.

In Figures 1 and 2, the power converter is a converter that converts the voltage  $V_c$  to a voltage suitable for the cooling element. It need not be a step-down converter; it can be any converter where the output is proportional to the input. The power converter can, for example, be a DC/DC converter or DC/AC converter.

In Figures 1 and 2, the switch(es) can be any type of switch, including a metal-oxide-semiconductor field-effect transistor (MOSFET) switch, or a bipolar junction transistor (BJT) switch, or an actual switch, or otherwise.

15

20

25

10

5

Ideally, the capacitor will be totally discharged in between two consecutive switchings for maximum evacuated energy efficiency. In this way, the capacitor will be totally discharged for the next cycle and able to accumulate more energy.

5

In Figure 2,  $V_{\rm ref}$  need not be ground, but can be set according to the desired idle speed of the cooling element. Referring to Figure 2 and the graph in Figure 3, it can be seen that the idle speed of the cooling element depends on  $V_{\rm ref}$  as follows:

10

• if  $V_{ref} = +V_{cc'}$  then the idle speed of the cooling element is zero when the switch is off;

15

- if  $V_{ref} > +V_{cc}$ , the cooling element has a non-zero idle speed only when  $I_{peak}$  is greater than a certain threshold; and
- if  $V_{ref} < +V_{cc'}$  the cooling element has a non-zero idle speed when the switch is off.

20

In accordance with the invention, as the current through the switches increases, and hence the need for cooling, the voltage on the capacitor will increase as well. In an ideal situation (i.e. no diode loss) there is the following relation:

25

$$LI^2/2 = CV^2/2$$

As should be apparent from the above formula, the capacitor voltage

should increase as the inductor current increases. For variable speed fans, this means that as the voltage on the capacitor increases, the voltage on the fan will increase as well. The power supply for the cooling element is therefore adjusted automatically in proportion to changes in the amount of current delivered to the switches; there is no need to provide an auxiliary power supply for the cooling system.

There are several advantages to the proposed system, such as:

10

5

1. The overall system efficiency is increased by eliminating the need for auxiliary power for the cooling element from converter input or output.

15

2. Less heat is dissipated in the system, and there is simultaneously less of a rise in temperature in the system. Energy loss that would otherwise be converted to heat only, is instead used to rotate a fan, move a water pump, operate a Peltier device, or otherwise power a cooling element.

20

3. Variable speeds fans may be used for cooling, resulting in longer fan life.

25 -

4. Cooling begins as the system is loaded, not when heat sink temperatures rise above a threshold amount. An increase in  $I_{peak}$  will generate an immediate increase of losses, an increase of dI/dt, and therefore a quick increase in the speed of a fan or other cooling element. A faster response time of cooling

10

15

20

25

may be obtained. The speed of the fan or other cooling element will increase with the next duty cycle after the increase in  $I_{\text{peak}}$ .

5. No separate temperature sensing means and cooling system controls are required to measure the temperature of the semiconductors and control the speed of the fan accordingly.

As mentioned, the cooling element need not be a fan. Alternative means for cooling may be employed, such as the Peltier effect or liquid cooling where suitable.

As will be apparent to those skilled in the art in the light of the foregoing disclosure, many alterations and modifications are possible in the practice of this invention without departing from the spirit or scope thereof. For example, a power supply as described above could be incorporated into a larger system comprising other devices, and the cooling element could be configured to cool those other devices in addition to, or instead of, the power supply; if the larger system already provides means for cooling the power supply (such as general cooling for the system as a whole or even natural convection), then the cooling element could be configured to cooperate with the larger system's cooling means so as to provide additional cooling directed specifically to where it is most needed or desired (not necessarily only to the power supply). Accordingly, the scope of the invention is to be construed in accordance with the substance defined by the following claims.